

Sarbanes-Oxley Complaints							
	Cases		Complaint Determinations				
	Received	Completed	Withdrawn	Dismissed	Meritorious		Total
					Settled	Merit Findings Issued	
FY 2002	3	1	1	0	0	0	1
FY 2003	146	80	14	56	9	2	81
FY 2004	184	175	24	127	23	6	180
FY 2005	285	250	38	192	27	9	266
FY 2006	223	250	30	182	47	0	259
FY 2007	218	238	31	171	42	0	244
FY 2008	229	187	24	126	41	0	191
FY 2009	226	194	33	125	41	4	203
FY 2010	191	205	27	141	37	3	208
Total	1705	1580	222	1120	254		1633
					230	24	

Note that when cases have multiple complainants, the determinations for each complainant are counted separately. As a result, the total number of determinations is higher than the number of cases completed.